

**Appendix-1.1**  
(Referred to in Paragraphs 1.9, 1.10 and 1.13)

Summarised financial results of 11 Power Sector Undertakings covered in this Chapter for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Name of PSU	Year of finalised Accounts	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed <sup>1</sup>	Net Worth/ Shareholders' Fund <sup>2</sup>	Accumulated profit/ loss
<b>A</b>	<b>Generation</b>								
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2018-19	1138.79	123.75	9358.47	12305.55	23956.03	12928.09	1173.67
2	Uttar Pradesh Jal Vidyut Nigam Limited	2016-17	5.33	-17.20	107.43	434.53	233.90	81.82	-352.71
3	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of Sl. No.1)	2018-19	3.74	2.64	0	551.05	1802.31	553.43	2.38
<b>Sub Total (A)</b>			<b>1147.86</b>	<b>109.19</b>	<b>9465.90</b>	<b>13291.13</b>	<b>25992.24</b>	<b>13563.34</b>	<b>823.34</b>
<b>B</b>	<b>Transmission</b>								
4	Uttar Pradesh Power Transmission Corporation Limited	2018-19	706.60	-356.27	2364.28	14060.07	23152.35	12250.65	-1809.24
<b>Sub Total (B)</b>			<b>706.60</b>	<b>-356.27</b>	<b>2364.28</b>	<b>14060.07</b>	<b>23152.35</b>	<b>12250.65</b>	<b>-1809.24</b>
<b>C</b>	<b>Distribution</b>								
5	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2018-19	163.88	-978.11	11036.93	18698.49	14175.13	-674.95	-19373.44
6	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2018-19	-577.61	-1290.50	16334.27	14679.36	9009.57	-1547.35	-16226.71

<sup>1</sup> Capital employed is the sum total of Shareholders' fund and Long Term Loans.

<sup>2</sup> Net worth is the sum total of paid-up capital and free reserves and surplus minus accumulated losses and deferred revenue expenditure. DRE of ₹ 0.18 crore was deducted in case of Uttar Pradesh Power Transmission Corporation Limited to arrive at the net worth figure.

Sl. No.	Name of PSU	Year of finalised Accounts	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed <sup>1</sup>	Net Worth/ Shareholders' Fund <sup>2</sup>	Accumulated profit/ loss
7	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2018-19	125.01	-746.48	10029.68	17440.46	14462.83	2687.23	-14753.23
8	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2018-19	-1121.60	-2567.16	10174.60	18412.68	7454.00	-9533.02	-27945.70
9	Kanpur Electricity Supply Company Limited (Subsidiary of Sl. No. 10)	2018-19	-279.32	-449.64	2449.94	1955.83	324.33	-1615.83	-3571.66
10	Uttar Pradesh Power Corporation Limited	2017-18	-8118.80	-8118.80	0	82991.49	-60729.08	-60729.08	-79321.02
<b>Sub Total (C)</b>			<b>-9808.44</b>	<b>-14150.69</b>	<b>50025.42</b>	<b>154178.31</b>	<b>-15303.22</b>	<b>-71413.00</b>	<b>-161191.76</b>
<b>D</b>	<b>Other</b>								
11	UCM Coal Company Limited (Joint Venture of Sl. No. 1)	2018-19	-1.19	-1.19	0	0.16	0.25	-2.25	-2.41
<b>Sub Total (D)</b>			<b>-1.19</b>	<b>-1.19</b>	<b>0</b>	<b>0.16</b>	<b>0.25</b>	<b>-2.25</b>	<b>-2.41</b>
<b>Grand Total (A+B+C+D)</b>			<b>-7955.17</b>	<b>-14398.96</b>	<b>61855.60</b>	<b>181529.67<sup>3</sup></b>	<b>33841.62</b>	<b>-45601.26</b>	<b>-162180.07</b>

<sup>3</sup> Paid up capital of ₹ 1,81,529.67 crore includes an amount of ₹ 64,950.68 crore in holding companies at Sl. No. 1 (₹ 551.13 crore) and Sl. No. 10 (₹ 64,399.55 crore) which was given by the Government for their subsidiary/associates/joint venture companies at Sl. No. 3, 5, 6, 7, 8, 9 and 11. Therefore, the amount of ₹ 64,950.68 crore has been excluded for calculation of net worth and capital employed.

**Appendix-1.2**  
**(Referred to in Paragraph 1.9)**

**Investment made by GoUP and others in 11 Power Sector PSUs covered in this Chapter as on 31 March 2019**

(₹ in crore)

Sl. No.	Name of the PSUs	Number of PSUs	Equity		Total Equity	Long Terms Loans			Total Long Terms Loans	Total Investment (Equity and Long Term Loans)
			State Govt.	Others		State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institutions Loan		
1.	UP Rajya Vidyut Utpadan Nigam Limited (including subsidiary JVUNL)	2	12305.55	0.00	12305.55	0.00	0.00	12276.81	12276.81	24582.36
2.	UP Jal Vidyut Nigam Limited	1	435.33	0.00	435.33	64.65	0.00	85.21	149.86	585.19
3.	UP Power Transmission Corporation Limited (UPPTCL)	1	14060.07 <sup>4</sup>	0.00	14060.07	0.00	0.00	10901.70	10901.70	24961.77
4.	UPPCL (including PuVVNL, PUVNL, DVVNL, MVVNL and KESCO)	6	91110.77 <sup>5</sup>	0.20 <sup>6</sup>	91110.97	5507.17	0.00	50602.61	56109.78	147220.75
5.	UCM Coal Company Limited	1	0.00	0.16	0.16	0.00	0.00	2.50	2.50	2.66
<b>Total</b>		<b>11</b>	<b>117911.72</b>	<b>0.36</b>	<b>117912.08</b>	<b>5571.82</b>	<b>0.00</b>	<b>73868.83</b>	<b>79440.65</b>	<b>197352.73</b>

**Note: (i) Total investment in Power Sector PSUs = (Equity in PSUs) + (Loan in PSUs) = ₹ 1,17,912.08 crore + ₹ 79,440.65 crore = ₹ 1,97,352.73 crore.**

**(ii) Total Govt. investment in Power Sector PSUs = (GoUP Equity in PSUs) + (GoUP Loan in PSUs) = ₹ 1,17,911.72 crore + ₹ 5,571.82 crore = ₹ 1,23,483.54 crore.**

<sup>4</sup> It includes ₹ 2,213.27 crore of GoUP investment obtained through UPPCL.

<sup>5</sup> It excludes ₹ 2,213.27 crore of GoUP investment in UPPTCL through UPPCL as the same is included in GoUP investment in UPPTCL. Further, it excludes ₹ 2.22 crore of GoUP investment in SUPPTCL through UPPCL as SUPPTCL is not covered in this Chapter.

<sup>6</sup> ₹ 0.20 crore is appearing in category of "others" is on account of promoters' shares allotted to UPPCL by DISCOMs i.e. 500 equity shares valuing to ₹ 5.00 lakh (500 shares @ ₹ 1,000 each) to four DISCOMs.

Appendix 1.3

(Referred to in Paragraph 1.7.1)

Statement showing position of State Government investment in State PSUs (Power Sector) accounts of which are in arrears during the period of arrears

(₹ in crore)

Sl. No.	Name of PSU	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money	Investment made by State Government during the period for which accounts were in arrear				
					Equity	Loans	Grant	Subsidy	Total
1	Uttar Pradesh Power Corporation Limited	2017-18	2018-19	82991.49	10334.78	615.45	6032.59	11028.71	28011.53
2	Uttar Pradesh Jal Vidyut Nigam Limited	2016-17	2017-18 to 2018-19	434.53	0.80	0.00	0.00	0.00	0.80
<b>Total</b>					<b>10335.58</b>	<b>615.45</b>	<b>6032.59</b>	<b>11028.71</b>	<b>28012.33</b>

**Appendix-1.4**  
**(Referred to in Paragraph 1.10)**  
**Details of Profit/Loss making PSUs during 2018-19**

(₹ in crore)

Sl. No.	Name of PSUs	Year of Account	Amount
<b>Top profit making PSUs</b>			
1	UP Rajya Vidyut Utpadan Nigam Limited	2018-19	123.75
2	Jawaharpur Vidyut Utpadan Nigam Limited	2018-19	2.64
		<b>Total</b>	<b>126.39</b>
<b>Top loss making PSUs</b>			
1	Uttar Pradesh Power Corporation Limited	2017-18	8118.80
2	Dakshinanchal Vidyut Vitran Nigam Limited	2018-19	2567.16
3	Paschimanchal Vidyut Vitran Nigam Limited	2018-19	1290.50
4	Purvanchal Vidyut Vitran Nigam Limited	2018-19	978.11
5	Madhyanchal Vidyut Vitran Nigam Limited	2018-19	746.48
6	Kanpur Electricity Supply Company Limited	2018-19	449.64
7	Uttar Pradesh Power Transmission Corporation Limited	2018-19	356.27
8	Uttar Pradesh Jal Vidyut Nigam Limited	2016-17	17.20
9	UCM Coal Company Limited	2018-19	1.19
		<b>Total</b>	<b>14525.35</b>

**Appendix-1.5**  
(Referred to in Paragraph 1.12)

**Statement showing State Government funds infused in the four Power Sector Undertakings from the year 2000-2001 to 2018-2019**

(₹ in crore)

Year	UPJVNL			UPRVUNL			UPPTCL			UPPCL			Grand Total		
	Equity	Grant/ Subsidy	IFL	Equity	Grant/ Subsidy	IFL	Equity	Grant/ Subsidy	IFL	Equity	Grant/ Subsidy	IFL	Equity	Grant/ Subsidy	IFL
up to 2000-01	372.88	0.00	3.55	1764.25	2.21	0.00	0.00	0.00	0.00	4199.34	292.02	0.00	6336.47	294.23	3.55
2001-02	0.00	0.00	0.00	32.82	25.16	0.00	0.00	0.00	0.00	282.21	1035.23	0.00	315.03	1060.39	0.00
2002-03	0.00	0.00	0.00	0.00	0.42	0.00	0.00	0.00	0.00	225.90	971.73	0.00	225.90	972.15	0.00
2003-04	21.06	0.00	0.00	335.85	1.27	0.00	0.00	0.00	0.00	5694.39	1169.87	0.00	6051.30	1171.14	0.00
2004-05	10.50	0.00	0.00	1.00	1.13	0.00	0.00	0.00	0.00	895.30	1227.93	0.00	906.80	1229.06	0.00
2005-06	10.65	0.00	0.00	389.89	0.80	0.00	0.00	0.00	0.00	394.06	1371.53	0.00	794.60	1372.33	0.00
2006-07	9.16	0.00	0.00	407.00	0.50	0.00	0.00	0.00	0.00	2697.37	1588.40	0.00	3113.53	1588.90	0.00
2007-08	1.00	0.00	0.00	1006.00	0.50	0.00	2213.34	0.00	0.00	1826.64	1983.65	0.00	5046.98	1984.15	0.00
2008-09	2.00	0.00	0.00	778.00	0.52	0.00	428.55	0.00	0.00	5013.79	1919.63	0.00	6222.34	1920.15	0.00
2009-10	3.50	0.00	0.00	812.20	0.53	0.00	891.57	0.00	0.00	3615.10	1908.51	0.00	5322.37	1909.04	0.00
2010-11	1.00	0.00	0.00	775.00	9.15	0.00	500.00	0.00	0.00	3107.52	2160.26	0.00	4383.52	2169.41	0.00
2011-12	0.00	0.00	0.00	555.75	0.00	0.00	409.05	0.00	0.00	3349.56	3811.85	0.00	4314.36	3811.85	0.00
2012-13	1.39	0.00	0.00	865.35	0.00	0.00	800.00	0.00	0.00	2158.79	5010.13	0.00	3825.53	5010.13	0.00
2013-14	1.39	0.00	0.00	117.89	0.00	0.00	1394.08	0.00	0.00	5067.54	5315.37	0.00	6580.90	5315.37	0.00
2014-15	0.00	0.00	0.00	351.90	0.00	0.00	2004.61	0.00	0.00	9189.65	12647.70	0.00	11546.16	12647.70	0.00
2015-16	0.00	0.00	0.00	1129.51	0.00	0.00	1450.00	0.00	0.00	16498.92	22385.92	6083.12	19078.43	22385.92	6083.12
2016-17	0.00	0.00	0.00	788.00	0.00	0.00	1695.00	0.00	0.00	9722.97	14817.66	3700.32	12205.97	14817.66	3700.32
2017-18	0.80	0.00	0.00	686.38	0.00	0.00	708.22	13.56	0.00	6839.12	7579.63	0.00	8234.52	7593.19	0.00
2018-19	0.00	0.00	0.00	1508.76	0.00	0.00	1565.65	95.79	0.00	10332.60	17061.30	-4891.72	13407.01	17157.09	-4891.72
<b>Total</b>	<b>435.33</b>	<b>0.0</b>	<b>3.55</b>	<b>12305.55</b>	<b>42.19</b>	<b>0.0</b>	<b>14060.07<sup>7</sup></b>	<b>109.35</b>	<b>0.00</b>	<b>91110.77<sup>8</sup></b>	<b>104258.32</b>	<b>4891.72</b>	<b>117911.72</b>	<b>104409.86</b>	<b>4895.27</b>

<sup>7</sup> It includes ₹ 2,213.27 crore of GoUP investment obtained through UPPCL.

<sup>8</sup> It excludes ₹ 2,213.27 crore of GoUP investment in UPPTCL through UPPCL. Further, it excludes ₹ 2.22 crore of GoUP investment in SUPPTCL through UPPCL as SUPPTCL is not covered in this Chapter.

**Appendix-2.1**  
**(Referred to in Paragraph 2.2)**  
**Statement showing loss of interest on mobilisation advance**

(₹ in lakh)

Sl. No.	Particulars	Month wherein Mobilisation Advance recovered	Value of Mobilisation Advance	Mobilisation Advance Adjusted	Mobilisation Advance Outstanding	Month up to which interest to be charged on Outstanding Mobilisation Advance	Period of outstanding (in complete months)	Interest at the rate of 12 per cent per annum
1	2	3	4	5	6	7	8 = Col.7-Col.3	9 = Col.6* 12 per cent *Col.8/12
1	Total Mobilisation Advance given to the contractor in October 2013		668.21		668.21			
2	Mobilisation advance adjusted till September 2014	upto Sept-14		270.97	397.24	upto Nov-14	2	7.94
3	Mobilisation advance outstanding after September 2014	Dec-14		5.80	391.44	upto Jan-15	2	7.83
4		Feb-15		28.43	363.01	upto Feb-15	1	3.63
5		Mar-15		13.08	349.93	upto Mar-15	1	3.50
6		Apr-15		23.38	326.55	upto Apr-15	1	3.27
7		May-15		30.96	295.59	upto Jul-15	3	8.87
8		Aug-15		16.89	278.70	upto Aug-15	1	2.79
9		Sep-15		10.54	268.16	upto Sep-15	1	2.68
10		Oct-15		13.34	254.82	upto Oct-15	1	2.55
11		Nov-15		8.91	245.91	upto Nov-15	1	2.46
12		Dec-15		2.62	243.29	upto Dec-15	1	2.43
13		Jan-16		6.60	236.69	upto Feb-16	2	4.73
14		Mar-16		5.40	231.29	upto Mar-16	1	2.31
15		Apr-16		12.26	219.03	upto Apr-16	1	2.19
16		May-16		5.48	213.55	upto Aug-16	4	8.54
17		Sept-16		12.59	200.96	upto Nov-16	3	6.03
18		Dec-16		0.97	199.99	upto Apr-17	5	10.00
19		May-17		17.48	182.51	upto May-17	1	1.83
20		June-17		8.19	174.32	upto Feb-18	9	15.69
21		Feb-18		174.32	00.00			
<b>Total</b>				<b>668.21</b>				<b>99.27</b>

Appendix-3.1

(Referred to in Paragraphs 3.1, 3.12 and 3.13.1)

Summarised financial results of PSUs (other than Power Sector) covered in this Chapter for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Sector & Name of the PSU	Period of accounts	Year in which finalised	Net profit/ loss before dividend, interest & tax	Net profit/ loss after dividend, interest & tax	Turnover	Paid up capital (Including Share Application)	Capital employed	Net Worth	Accumulated profit/ loss
1	2	3	4	5	6	7	8	9	10	11
<b>I</b>	<b>PSUs working in Monopolistic environment</b>									
<b>A</b>	<b>Government companies</b>									
1	Uttar Pradesh Metro Rail Corporation Limited (formerly known as Lucknow Metro Rail Corporation Limited )	2018-19	2018-19	-70.02	-72.11	15.04	2041.00	6509.65	1897.60	-143.40
2	NOIDA Metro Rail Corporation Limited	2018-19	2018-19	8.90	-4.89	18.84	1374.97	2557.59	1312.59	-62.38
	<b>Sub Total</b>			<b>-61.12</b>	<b>-77.00</b>	<b>33.88</b>	<b>3415.97</b>	<b>9067.24</b>	<b>3210.19</b>	<b>-205.78</b>
<b>B</b>	<b>Other Government controlled companies</b>									
3	Almora Magnesite Limited	2018-19	2018-19	0.48	0.38	35.21	2.00	7.40	7.33	5.80
4	Lucknow Smart City Limited	2016-17	2017-18	-0.22	-0.22	0.00	0.50	0.28	0.28	-0.22
5	Agra Smart City Limited	2018-19	2018-19	-1.81	-1.82	0.00	216.00	214.17	214.17	-1.83
6	Aligarh Smart City Limited	2018-19	2018-19	-1.42	-1.42	0.02	2.00	-0.32	-0.32	-2.32
	<b>Sub Total</b>			<b>-2.97</b>	<b>-3.08</b>	<b>35.23</b>	<b>220.50</b>	<b>221.53</b>	<b>221.46</b>	<b>1.43</b>
<b>C.</b>	<b>Statutory Corporations</b>									
7	Uttar Pradesh Avas Evam Vikas Parishad	2017-18	2018-19	118.11	118.11	1241.69	0.00	4872.28	4872.28	4872.28
8	Uttar Pradesh Forest Corporation	2017-18	2018-19	81.10	80.38	345.50	0.00	1472.22	1459.22	1523.08
9	Uttar Pradesh State Road Transport Corporation	2018-19	2018-19	39.85	39.85	4523.19	926.19	-74.59	-116.31	-1042.50
10	Uttar Pradesh State Warehousing Corporation	2016-17	2018-19	70.75	45.66	474.83	13.00	508.11	508.11	500.00
11	Uttar Pradesh Jal Nigam	2016-17	2018-19	-256.46	-317.07	462.27	0.00	-100.63	-1156.21	-1156.21
	<b>Sub Total</b>			<b>53.35</b>	<b>-33.07</b>	<b>7047.48</b>	<b>939.19</b>	<b>6677.39</b>	<b>5567.09</b>	<b>4696.65</b>
	<b>Total of PSUs working in Monopolistic environment</b>			<b>-10.74</b>	<b>-113.15</b>	<b>7116.59</b>	<b>4575.66</b>	<b>15966.16</b>	<b>8998.74</b>	<b>4492.30</b>

Sl. No.	Sector & Name of the PSU	Period of accounts	Year in which finalised	Net profit/loss before dividend, interest & tax	Net profit/loss after dividend, interest & tax	Turnover	Paid up capital (Including Share Application)	Capital employed	Net Worth	Accumulated profit/ loss
1	2	3	4	5	6	7	8	9	10	11
<b>II</b>	<b>PSUs with assured income from centage, commission, revenue grants/subsidies, etc.</b>									
<b>A</b>	<b>Government companies</b>									
12	U.P. Projects Corporation Limited	2016-17	2018-19	38.14	24.62	927.83	6.40	117.17	117.17	110.77
13	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited( formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	2016-17	2017-18	2.42	1.62	692.29	0.15	73.31	73.31	73.16
14	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2017-18	2018-19	0.76	0.51	34.01	7.12	13.23	10.60	3.48
15	Uptron Powertronics Ltd. (Subsidiary of Uttar Pradesh Electronics Corporation)	2017-18	2018-19	0.60	0.31	17.48	4.07	2.53	2.53	-1.54
16	Uttar Pradesh Electronics Corporation Limited	2016-17	2017-18	1.75	0.89	79.65	91.54	145.03	34.61	6.18
17	Uttar Pradesh Development Systems Corporation Limited	2017-18	2018-19	12.21	8.93	225.40	1.00	20.90	20.90	19.90
18	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2017-18	2018-19	49.08	49.08	390.21	0.43	267.56	267.56	267.13
19	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	2017-18	2017-18	0.04	0.04	0.18	0.25	1.97	-0.51	-0.76
20	Uttar Pradesh Police Avas Nigam Limited	2017-18	2018-19	0.08	-0.45	106.83	3.00	33.10	33.10	30.10
21	Uttar Pradesh Export Promotion Council	2018-19	2018-19	0.62	0.62	0.93	0.05	6.11	1.11	1.06
22	Allahabad City Transport Services Limited	2017-18	2018-19	-7.16	-7.16	16.03	4.91	-6.21	-14.95	-19.86
<b>Total of PSUs with assured income from centage, commission, revenue grants/subsidies, etc.</b>				<b>98.54</b>	<b>79.01</b>	<b>2490.84</b>	<b>118.92</b>	<b>674.70</b>	<b>545.43</b>	<b>489.62</b>

Sl. No.	Sector & Name of the PSU	Period of accounts	Year in which finalised	Net profit/loss before dividend, interest & tax	Net profit/loss after dividend, interest & tax	Turnover	Paid up capital (Including Share Application)	Capital employed	Net Worth	Accumulated profit/ loss
1	2	3	4	5	6	7	8	9	10	11
<b>III</b>	<b>PSUs working in competitive environment</b>									
<b>A</b>	<b>Government companies</b>									
23	Uttar Pradesh State Spinning Company Limited	2016-17	2017-18	-8.52	-11.69	0.17	93.24	-28.05	-167.53	-260.77
24	The Pradeshiya Industrial and Investment Corporation of UP Limited	2016-17	2018-19	6.14	3.35	9.10	135.58	251.18	-230.61	-366.19
<b>Total of PSUs working in competitive environment</b>				<b>-2.38</b>	<b>-8.34</b>	<b>9.27</b>	<b>228.82</b>	<b>223.13</b>	<b>-398.14</b>	<b>-626.96</b>
<b>Grand Total</b>				<b>85.42</b>	<b>-42.48</b>	<b>9616.70</b>	<b>4923.40<sup>9</sup></b>	<b>16863.99</b>	<b>9146.03<sup>10</sup></b>	<b>4354.96</b>

<sup>9</sup> Paid up capital of ₹ 4,923.40 crore includes an amount of ₹ 63.11 crore in holding Company at Sl. number 16 which was given by the Government for their subsidiary companies. Therefore, the amount of ₹ 63.11 crore has been excluded for calculation of net worth and capital employed. Further, loan amount of ₹ 2.46 crore was given by GoUP to holding Company at Sl No. 16 for its subsidiary companies. Therefore, the same has also been excluded for calculation of capital employed.

<sup>10</sup> Net worth is the sum total of the paid up capital and free reserves and surplus minus accumulated losses and deferred revenue expenditure (DRE). DRE of ₹ 5.36 crore was deducted in case of Uttar Pradesh State Warehousing Corporation (₹ 4.89 crore) and Almora Magnesite Limited (₹ 0.47 crore) to arrive at the net worth figure.

**Appendix-3.2**  
**(Referred to in paragraph 3.1)**  
**Details of State PSUs (other than Power Sector) not covered in this Chapter**

(₹ in crore)

Sl. No.	Name of the PSUs	Period of latest finalised accounts	Year in which finalised	Net Profit/Loss after Intt., Tax & Dividend	Turnover	Paid Up Capital
1	2	3	4	5	6	7
<b>I</b>	<b>Functional PSUs with arrears of accounts for three or more years/first accounts not received/not due</b>					
<b>A</b>	<b>Government Companies</b>					
1	Uttar Pradesh State Industrial Development Corporation Limited	2013-14	2017-18	95.22	193.45	24.08
2	Uttar Pradesh Alpsankhyak Vittya Avam Vikas Nigam Limited	2005-06	2017-18	-1.10	3.32	30.00
3	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	2014-15	2018-19	-0.38	0.77	8.10
4	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	2015-16	2018-19	11.89	67.74	230.42
5	Uttar Pradesh Food and Essential Commodities Corporation Limited	2010-11	2018-19	10.86	1535.05	5.50
6	Uttar Pradesh Bhumi Sudhar Nigam	2015-16	2017-18	0.08	0.21	1.50
7	Uttar Pradesh Mahila Kalyan Nigam Limited	2013-14	2014-15	0.36	0.60	5.19
8	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	2016-17	-0.21	0.38	5.25
9	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	2016-17	10.26	793.26	46.30
10	Uttar Pradesh Rajkiya Nirman Nigam Limited	2013-14	2018-19	82.47	3438.74	1.00
11	Uttar Pradesh State Bridge Corporation Limited	2015-16	2018-19	24.13	1892.52	15.00
12	Uttar Pradesh Small Industries Corporation Limited	2008-09	2018-19	9.97	266.91	5.96
13	Uttar Pradesh Beej Vikas Nigam Limited	2014-15	2018-19	16.19	456.59	6.92
14	Uttar Pradesh Matsya Vikas Nigam Limited	2015-16	2018-19	1.14	8.68	1.07
15	Uttar Pradesh Drugs and Pharmaceuticals Limited	2009-10	2012-13	-8.53	0.33	1.10
16	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	2018-19	-11.50	0.00	880.13
17	Uttar Pradesh State Sugar Corporation Limited	2015-16	2018-19	0.87	100.39	1648.31
18	Uttar Pradesh Handicraft & Marketing Development Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	2007-08	2017-18	-0.13	10.65	7.24
19	Uttar Pradesh State Tourism Development Corporation Limited	2015-16	2018-19	0.34	32.44	32.60

Sl. No.	Name of the PSUs	Period of latest finalised accounts	Year in which finalised	Net Profit/Loss after Intt., Tax & Dividend	Turnover	Paid Up Capital
1	2	3	4	5	6	7
20	Lucknow City Transport Services Limited*	Accounts not received	-	-	-	-
21	Meerut City Transport Services Limited	2010-11	2012-13	0.00	0.00	0.05
22	Agra Mathura City Transport Services Limited*	Accounts not received	-	-	-	-
23	Kanpur City Transport Services Limited*	Accounts not received	-	-	-	-
24	Varanasi City Transport Services Limited*	Accounts not received	-	-	-	-
25	Uttar Pradesh Medical Supply Corporation Limited* (Incorporated on 23.03.2018)	Accounts not received	-	-	-	-
26	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited* (Incorporated on 04.10.2018)	Accounts not received	-	-	-	-
	<b>Sub Total</b>			<b>241.93</b>	<b>8802.03</b>	<b>2955.72</b>
<b>B</b>	<b>Other Government Controlled Companies</b>					
27	Jhansi Smart City Limited* (Incorporated on 22.11.2016)	Accounts not received	-	-	-	-
28	Kanpur Smart City Limited* (Incorporated on 08.12.2016)	Accounts not received	-	-	-	-
29	Bareilly Smart City Limited* (Incorporated on 03.04.2018)	Accounts not received	-	-	-	-
30	Prayagraj Smart City Limited* (Incorporated on 29.08.2017)	Accounts not received	-	-	-	-
31	Varanasi Smart City Limited* (Incorporated on 29.10.2016)	Accounts not received	-	-	-	-
	<b>Sub Total</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>C</b>	<b>Statutory Corporations</b>					
32	Uttar Pradesh Financial Corporation	2012-13	2015-16	17.38	22.22	179.28
	<b>Sub Total</b>			<b>17.38</b>	<b>22.22</b>	<b>179.28</b>
<b>II</b>	<b>Non-functional PSUs</b>					
<b>A</b>	<b>Government Companies</b>					
33	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1997-98	1998-99	-0.40	1.29	1.05
34	Uttar Pradesh State Mineral Development Corporation Limited	2013-14	2016-17	-0.48	0.00	59.43

Sl. No.	Name of the PSUs	Period of latest finalised accounts	Year in which finalised	Net Profit/Loss after Intt., Tax & Dividend	Turnover	Paid Up Capital
1	2	3	4	5	6	7
35	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	2017-18	-0.71	0.00	81.38
36	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2016-17	2017-18	-0.60	0.00	147.72
37	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	2015-16	2017-18	-0.33	0.00	256.80
38	The Indian Turpentine and Rosin Company Limited	2010-11	2012-13	-0.60	0.03	0.22
39	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	2001-02	2005-06	-0.29	0.16	1.93
40	Uttar Pradesh State Brassware Corporation Limited	1997-98	2007-08	2.39	0.53	5.38
41	Uttar Pradesh State Textile Corporation Limited	2016-17	2017-18	-8.80	0.00	197.10
42	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	1995-96	1997-98	-32.12	97.15	53.16
43	Uttar Pradesh State Handloom Corporation Limited	1999-00	2018-19	-13.84	28.68	47.07
44	Uttar Pradesh State Leather Development and Marketing Corporation Limited	2000-01	2002-03	0.26	3.60	5.74
45	Uttar Pradesh State Yarn Company Limited	2018-19	2018-19	-0.60	0.00	53.67
46	Uttar Pradesh Pashudhan Udyog Nigam Limited	2015-16	2018-19	0.18	0.00	2.73
47	Uttar Pradesh Poultry and Livestock Specialties Limited	2012-13	2018-19	-0.18	0.00	0.50
48	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	1984-85	1994-95	-0.67	0.27	1.90
49	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	2013-14	2014-15	-0.12	0.00	0.64
50	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	1995-96	2012-13	-0.16	0.45	1.46
51	Agra Mandal Vikas Nigam Limited	1988-89	2007-08	-0.09	3.91	1.00
52	Allahabad Mandal Vikas Nigam Limited	1983-84	1992-93	-0.11	2.74	0.55
53	Bareilly Mandal Vikas Nigam Limited	1988-89	2011-12	-0.39	3.33	1.00
54	Gorakhpur Mandal Vikas Nigam Limited	1988-89	2013-14	-0.07	0.25	1.26
55	Lucknow Mandaliya Vikas Nigam Limited	1981-82	1992-93	0.01	1.70	0.50
56	Meerut Mandal Vikas Nigam Limited	2008-09	2010-11	-0.03	0.00	1.00
57	Moradabad Mandal Vikas Nigam Limited	1991-92	2011-12	-0.19	0.85	0.25

Sl. No.	Name of the PSUs	Period of latest finalised accounts	Year in which finalised	Net Profit/Loss after Intt., Tax & Dividend	Turnover	Paid Up Capital
1	2	3	4	5	6	7
58	Tarai Anusuchit Janjati Vikas Nigam Limited	1982-83	1990-91	-0.04	0.01	0.25
59	Uttar Pradesh Bundelkhand Vikas Nigam Limited	2010-11	2016-17	0.09	0.00	1.23
60	Uttar Pradesh Chalchitra Nigam Limited	2009-10	2011-12	-0.38	0.12	8.18
61	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1987-88	1994-95	-0.14	1.30	1.15
62	Varanasi Mandal Vikas Nigam Limited	1987-88	1993-94	-0.03	1.47	0.70
	<b>Sub Total</b>			<b>-58.44</b>	<b>147.84</b>	<b>934.95</b>
<b>B</b>	<b>Other Government Controlled Companies</b>					
63	Command Area Poultry Development Corporation Limited	1994-95	-	0.01	0.96	0.24
	<b>Sub Total</b>			<b>0.01</b>	<b>0.96</b>	<b>0.24</b>
<b>III</b>	<b>PSUs under liquidation (UL)</b>					
<b>A</b>	<b>Government Companies</b>					
64	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	2002-03 (UL from 01 July 2003)	2004-05	-0.18	0.04	0.31
65	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	2006-07 (UL from 01 July 2003)	2008-09	-1.05	0.11	0.71
66	Uttar Pradesh Cement Corporation Limited	1995-96 (UL from 08 February 1999)	1996-97	-47.75	113.01	68.28
67	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1987-88 (UL from 28 November 2002)	1995-96	-0.12	0.00	0.00
68	Auto Tractors Limited	1991-92 (UL from 14 February 2003)	1995-96	0.11	6.31	7.50
69	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Ltd.)	1994-95 (UL from 20 February 1996)	-	-1.66	0.27	3.76
70	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1997-98 (UL from 01 April 2002)	2002-03	0.00	0.00	46.24

Sl. No.	Name of the PSUs	Period of latest finalised accounts	Year in which finalised	Net Profit/Loss after Intt., Tax & Dividend	Turnover	Paid Up Capital
1	2	3	4	5	6	7
71	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Ltd.)	(UL from 10 June 1996)	-	0.00	0.05	0.00
72	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1975-76 (UL from 19 April 1996)	-	-0.02	0.00	0.05
73	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	1992-93 (UL from 19 February 1994)	-	-6.18	2.26	6.58
74	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	1974-75 (UL from November 2003)	1984-85	-0.01	0.04	0.01
	<b>Sub Total</b>			<b>-56.86</b>	<b>122.09</b>	<b>133.44</b>
<b>B</b>	<b>Other Government Controlled Companies</b>					
75	Electronics and Computers (India) Limited	(UL from 14 July 1981)	-	0.00	0.00	0.00
	<b>Sub Total</b>			<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Total of all sectors</b>			<b>144.02</b>	<b>9095.14</b>	<b>4203.63</b>

\* PSUs which have not submitted their first accounts.

Appendix- 3.3

(Referred to in paragraphs 3.3 and 3.12)

Statement showing position of equity and outstanding loans relating to State PSUs (other than Power Sector) covered in this Chapter as on 31 March 2019

(₹ in crore)

Sl. No.	Sector & Name of the PSUs	Name of the Department	Month and year of incorporation	Equity at close of the year 2018-19				Long term loans outstanding at close of the year 2018-19			
				GoUP	GoI	Others	Total	GoUP	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
<b>I</b>	<b>PSUs working in Monopolistic environment</b>										
<b>Government Companies</b>											
1	Uttar Pradesh Metro Rail Corporation Limited (formerly known as Lucknow Metro Rail Corporation Limited )	Housing and Urban Planning	25 November 2013	1037.95	1003.00	0.05	2041.00	813.05	297.00	3502.00	4612.05
2	NOIDA Metro Rail Corporation Limited	Infrastructure and Industrial Development	11 May 2014	0.00	687.62	687.35	1374.97	0.00	115.00	1130.00	1245.00
<b>Sub Total</b>				<b>1037.95</b>	<b>1690.62</b>	<b>687.40</b>	<b>3415.97</b>	<b>813.05</b>	<b>412.00</b>	<b>4632.00</b>	<b>5857.05</b>
<b>Other Government controlled companies</b>											
3	Almora Magnesite Limited	-	27 August 1971	0.00	0.00	2.00	2.00	0.00	0.00	0.07	0.07
4	Lucknow Smart City Ltd	Urban Development	16 August 2016	0.00	196.00	186.00	382.00	0.00	0.00	0.00	0.00
5	Agra Smart City Limited	Urban Development	01 September 2017	0.00	0.00	216.00	216.00	0.00	0.00	0.00	0.00
6	Aligarh Smart City Limited	Urban Development	30 August 2017	0.00	0.00	2.00	2.00	0.00	0.00	0.00	0.00
<b>Sub Total</b>				<b>0.00</b>	<b>196.00</b>	<b>406.00</b>	<b>602.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.07</b>	<b>0.07</b>
<b>Statutory Corporations</b>											
7	Uttar Pradesh Avas Evam Vikas Parishad	Housing and Urban Planning	04 March 1966	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
8	Uttar Pradesh Forest Corporation	Forest	25 November 1974	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
9	Uttar Pradesh State Road Transport Corporation	Transport	06 January 1972	866.18	60.01	0.00	926.19	41.72	0.00	0.00	41.72
10	Uttar Pradesh State Warehousing Corporation	Co-operative	19 March 1958	7.61	0.00	5.40	13.01	0.00	0.00	0.00	0.00

Sl. No.	Sector & Name of the PSUs	Name of the Department	Month and year of incorporation	Equity at close of the year 2018-19				Long term loans outstanding at close of the year 2018-19			
				GoUP	GoI	Others	Total	GoUP	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
11	Uttar Pradesh Jal Nigam	Urban Development	06 June 1975	0.00	0.00	0.00	0.00	538.02	0.00	0.00	538.02
<b>Sub Total</b>				<b>873.79</b>	<b>60.01</b>	<b>5.40</b>	<b>939.20</b>	<b>579.74</b>	<b>0.00</b>	<b>0.00</b>	<b>579.74</b>
<b>Total of Sector I</b>				<b>1911.74</b>	<b>1946.63</b>	<b>1098.80</b>	<b>4957.17</b>	<b>1392.79</b>	<b>412.00</b>	<b>4632.07</b>	<b>6436.86</b>
<b>II</b>	<b>PSUs with assured income from centage, commission, revenue grants/subsidies, etc.</b>										
<b>Government Companies</b>											
12	U.P. Projects Corporation Limited	Irrigation	26 May 1976	5.40	1.00	0.00	6.40	0.00	0.00	0.00	0.00
13	Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	Samaj Kalyan	25 June 1976	0.15	0.00	0.00	0.15	0.00	0.00	0.00	0.00
14	Shretron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	02 October 1979	0.00	0.00	0.77	0.77	0.00	0.00	0.00	0.00
15	Uptron Powertronics Ltd. (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	04 October 1974	0.00	0.00	1.47	1.47	0.00	0.00	2.84	2.84
16	Uttar Pradesh Electronics Corporation Limited	Information Technology and Electronics	20 March 1974	91.54 <sup>11</sup>	0.00	0.00	91.54	112.48 <sup>12</sup>	0.00	0.00	112.48

<sup>11</sup> Government of UP Investment in the form of Equity of ₹ 91.54 crore in holding company at SI No. 16 includes an amount of ₹ 8.95 crore (₹ 6.35 crore and ₹ 2.60 crore) which was given for its subsidiary companies at SI No. 14 and 15. The same has been excluded from Equity of these subsidiary companies to avoid double counting of investment.

<sup>12</sup> Government of UP Investment in the form of Long term loan of ₹ 112.48 crore in holding company at SI No. 16 includes an amount of ₹ 2.46 crore which was given for its subsidiary companies at SI No. 14 (₹ 2.43 crore) and 15 (₹ 0.03 crore). The same has been excluded from Long term loan of these subsidiary companies to avoid double counting of investment.

Sl. No.	Sector & Name of the PSUs	Name of the Department	Month and year of incorporation	Equity at close of the year 2018-19				Long term loans outstanding at close of the year 2018-19			
				GoUP	GoI	Others	Total	GoUP	GoI	Others	Total
1	2	3	4	5 (a)	5 (b)	5 (c)	5 (d)	6 (a)	6 (b)	6 (c)	6 (d)
17	Uttar Pradesh Development Systems Corporation Limited	Information Technology and Electronics	15 March 1977	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00
18	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	23 May 1989	0.43	0.00	0.00	0.43	0.00	0.00	0.00	0.00
19	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	27 August 1975	0.15	0.00	0.10	0.25	0.00	0.00	0.00	0.00
20	Uttar Pradesh Police Avas Nigam Limited	Home	27 March 1987	3.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00
21	Uttar Pradesh Export Promotion Council	Laghu Udyog evam Niryat Protsahan	11 August 2016	0.05	0.00	0.00	0.05	5.00	0.00	0.00	5.00
22	Allahabad City Transport Services Limited	Urban Development	27 April 2010	0.05	0.00	4.86	4.91	0.00	0.00	6.57	6.57
	<b>Sub Total</b>			<b>101.77</b>	<b>1.00</b>	<b>7.20</b>	<b>109.97</b>	<b>117.48</b>	<b>0.00</b>	<b>9.41</b>	<b>126.89</b>
	<b>Total of Sector II</b>			<b>101.77</b>	<b>1.00</b>	<b>7.20</b>	<b>109.97</b>	<b>117.48</b>	<b>0.00</b>	<b>9.41</b>	<b>126.89</b>
<b>III</b>	<b>PSUs working in Competitive environment</b>										
	<b>Government Companies</b>										
23	Uttar Pradesh State Spinning Company Limited	Hathkargha evam Vastra Udhog	20 August 1976	93.24	0.00	0.00	93.24	142.37	0.00	0.00	142.37
24	The Pradeshiya Industrial and Investment Corporation of UP Limited	Infrastructure and Industrial Development	29 March 1972	110.58	0.00	25.00	135.58	1121.38	4.10	0.00	1125.48
	<b>Sub Total</b>			<b>203.82</b>	<b>0.00</b>	<b>25.00</b>	<b>228.82</b>	<b>1263.75</b>	<b>4.10</b>	<b>0.00</b>	<b>1267.85</b>
	<b>Total of Sector III</b>			<b>203.82</b>	<b>0.00</b>	<b>25.00</b>	<b>228.82</b>	<b>1263.75</b>	<b>4.10</b>	<b>0.00</b>	<b>1267.85</b>
	<b>Grand Total (Sector I +Sector II + Sector III)</b>			<b>2217.33</b>	<b>1947.63</b>	<b>1131.00</b>	<b>5295.96</b>	<b>2774.02</b>	<b>416.10</b>	<b>4641.48</b>	<b>7831.60</b>

**Appendix-3.4**  
**(Referred to in paragraph 3.7)**

**Statement showing difference between Finance Accounts of Government of Uttar Pradesh and Accounts of the State PSUs (other than Power Sector) in respect of balances of Equity, Loans and Guarantees as on 31 March 2019**

**(₹ in crore)**

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
<b>Functional PSUs</b>										
1	Uttar Pradesh Metro Rail Corporation Limited (formerly known as Lucknow Metro Rail Corporation Limited )	1037.95	813.05	0.00	1003.00	974.05	0.00	34.95	-161.00	0.00
2	Uttar Pradesh Avas Evam Vikas Parishad	0.00	0.00	0.00	0.00	0.31	0.00	0.00	-0.31	0.00
3	Uttar Pradesh State Road Transport Corporation	866.18	41.72	0.00	766.18	49.18	0.00	100.00	-7.46	0.00
4	Uttar Pradesh State Warehousing Corporation	7.61	0.00	0.00	7.79	0.63	0.00	-0.18	-0.63	0.00
5	Uttar Pradesh Jal Nigam	0.00	538.02	0.00	0.00	88.77	0.00	0.00	449.25	0.00
6	Uttar Pradesh Electronics Corporation Limited.	91.54	112.48	0.00	91.54	31.23	0.00	0.00	81.25	0.00
7	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	0.15	0.00	0.00	0.15	0.00	1.80	0.00	0.00	-1.80
8	Uttar Pradesh Export Promotion Council	0.05	5.00	0.00	0.00	0.00	0.00	0.05	5.00	0.00
9	Allahabad City Transport Services Limited	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
10	Uttar Pradesh State Spinning Company Limited	93.24	142.37	0.00	93.24	13.59	0.00	0.00	128.78	0.00
11	The Pradeshiya Industrial and Investment Corporation of UP Limited	110.58	1121.38	1.39	110.58	452.54	1.39	0.00	668.84	0.00
12	Uttar Pradesh State Industrial Development Corporation Limited	24.08	1.98	0.00	24.08	143.45	2.85	0.00	-141.47	-2.85
13	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	30.00	0.00	0.00	30.00	15.18	0.00	0.00	-15.18	0.00
14	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	12.23	0.00	0.00	12.23	0.00	52.65	0.00	0.00	-52.65
15	Uttar Pradesh Food and Essential Commodities Corporation Limited	12.34	0.00	0.00	12.34	-7.31	0.00	0.00	7.31	0.00
16	Uttar Pradesh State Agro Industrial Corporation Limited	58.32	29.00	0.00	59.01	4.69	0.00	-0.69	24.31	0.00
17	Uttar Pradesh State Bridge Corporation Limited	15.00	0.00	1000.00	15.00	0.00	600.00	0.00	0.00	400.00
18	Uttar Pradesh Small Industries Corporation Limited	5.96	3.57	0.00	5.96	0.41	0.00	0.00	3.16	0.00
19	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	880.13	0.00	0.00	-880.13	0.00	0.00

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
20	Uttar Pradesh State Sugar Corporation Limited	1648.31	755.00	133.96	1648.31	967.05	0.00	0.00	-212.05	133.96
21	Uttar Pradesh Handicraft & Marketing Development Corporation Limited(Formerly Uttar Pradesh Export Corporation Limited)	6.34	12.44	0.00	6.34	5.95	0.00	0.00	6.49	0.00
22	Uttar Pradesh State Tourism Development Corporation Limited	32.60	0.45	0.00	32.60	2.76	0.00	0.00	-2.31	0.00
23	Lucknow City Transport Services Limited*	17.84	0.00	0.00	0.00	0.00	0.00	17.84	0.00	0.00
24	Meerut City Transport Services Limited	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
25	Agra Mathura City Transport Services Limited*	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
26	Kanpur City Transport Services Limited*	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
27	Varanasi City Transport Services Limited*	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
28	Uttar Pradesh Medical Supply Corporation Limited*	5.16	0.00	0.00	0.00	0.00	0.00	5.16	0.00	0.00
29	Uttar Pradesh Financial Corporation	114.17	345.59	2.46	114.51	15.11	2.46	-0.34	330.48	0.00
<b>Sub Total of functional PSUs</b>		<b>4189.90</b>	<b>3922.05</b>	<b>1137.81</b>	<b>4912.99</b>	<b>2757.59</b>	<b>661.15</b>	<b>-723.09</b>	<b>1164.46</b>	<b>476.66</b>

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
<b>Non- functional PSUs</b>										
30	Uttar Pradesh State Mineral Development Corporation Limited	59.43	18.24	0.00	59.43	4.97	0.00	0.00	13.27	0.00
31	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	81.38	0.00	0.00	-81.38	0.00	0.00
32	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	147.72	0.00	0.00	-147.72	0.00	0.00
33	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	257.19	0.00	0.00	-257.19	0.00	0.00
34	The Indian Turpentine and Rosin Company Limited	0.19	5.33	0.00	0.30	1.11	0.00	-0.11	4.22	0.00
35	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	0.09	5.55	0.00	0.09	0.00	0.00	0.00	5.55	0.00
36	Uttar Pradesh State Brassware Corporation Limited	5.28	1.94	0.00	5.28	0.15	0.00	0.00	1.79	0.00
37	Uttar Pradesh State Textile Corporation Limited	197.10	89.65	0.00	160.79	203.89	0.00	36.31	-114.24	0.00
38	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	0.00	0.00	0.00	0.00	2.85	0.00	0.00	-2.85	0.00

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
39	Uttar Pradesh State Handloom Corporation Limited	36.44	152.09	0.00	36.44	12.53	2.34	0.00	139.56	-2.34
40	Uttar Pradesh State Leather Development and Marketing Corporation Limited	5.74	1.91	0.00	5.74	1.40	0.00	0.00	0.51	0.00
41	Uttar Pradesh State Yarn Company Limited	53.67	62.21	0.00	31.91	9.06	0.00	21.76	53.15	0.00
42	Uttar Pradesh Pashudhan Udyog Nigam Limited	2.10	0.71	0.00	2.73	1.10	0.00	-0.63	-0.39	0.00
43	Uttar Pradesh Poultry and Livestock Specialties Limited	2.88	0.00	0.00	0.44	0.00	0.00	2.44	0.00	0.00
44	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	6.41	3.34	0.56	8.72	0.00	0.56	-2.31	3.34	0.00
45	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	0.51	0.00	0.00	0.51	0.00	0.70	0.00	0.00	-0.70
46	Agra Mandal Vikas Nigam Limited	1.00	0.05	0.00	1.00	0.00	0.00	0.00	0.05	0.00
47	Allahabad Mandal Vikas Nigam Limited	0.67	0.66	0.00	0.67	0.00	0.00	0.00	0.66	0.00
48	Gorakhpur Mandal Vikas Nigam Limited	0.94	0.65	0.00	0.93	0.00	0.00	0.01	0.65	0.00
49	Lucknow Mandaliya Vikas Nigam Limited	0.70	0.86	0.00	0.70	0.00	0.00	0.00	0.86	0.00
50	Moradabad Mandal Vikas Nigam Limited	0.25	0.65	0.00	0.25	0.00	0.00	0.00	0.65	0.00

Sl. No.	Sector & Name of the PSUs	As per records of State PSUs			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding	Paid up Capital	Loan Outstanding	Guarantee outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
51	Tarai Anusuchit Janjati Vikas Nigam Limited	0.45	1.25	0.00	0.45	0.00	0.00	0.00	1.25	0.00
52	Uttar Pradesh Bundelkhand Vikas Nigam Limited	1.23	0.05	0.00	1.23	0.00	0.00	0.00	0.05	0.00
53	Uttar Pradesh Chalchitra Nigam Limited	8.18	2.47	0.00	8.18	0.31	0.00	0.00	2.16	0.00
54	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1.30	0.35	0.00	1.30	0.00	0.00	0.00	0.35	0.00
	<b>Sub Total</b>	<b>384.56</b>	<b>347.96</b>	<b>0.56</b>	<b>813.38</b>	<b>237.37</b>	<b>3.60</b>	<b>-428.82</b>	<b>110.59</b>	<b>-3.04</b>
	<b>PSUs under liquidation</b>									
55	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	0.38	6.55	0.00	0.38	0.00	0.00	0.00	6.55	0.00
56	Uttar Pradesh Cement Corporation Limited	68.28	124.77	0.00	68.28	37.45	0.00	0.00	87.32	0.00
57	Auto Tractors Limited	5.63	0.38	0.00	5.63	14.89	0.00	0.00	-14.51	0.00
58	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	0.00	11.02	0.00	6.59	0.00	0.00	-6.59	11.02	0.00
	<b>Sub Total</b>	<b>74.29</b>	<b>142.72</b>	<b>0.00</b>	<b>80.88</b>	<b>52.34</b>	<b>0.00</b>	<b>-6.59</b>	<b>90.38</b>	<b>0.00</b>
	<b>Sub Total of Non- functional PSUs</b>	<b>458.85</b>	<b>490.68</b>	<b>0.56</b>	<b>894.26</b>	<b>289.71</b>	<b>3.60</b>	<b>-435.41</b>	<b>200.97</b>	<b>-3.04</b>
	<b>Grand Total</b>	<b>4648.75</b>	<b>4412.73</b>	<b>1138.37</b>	<b>5807.25</b>	<b>3047.30</b>	<b>664.75</b>	<b>-1158.50</b>	<b>1365.43</b>	<b>473.62</b>

**Appendix-3.5**  
**(Referred to in paragraph 3.8.1)**

**Statement showing position of State Government investment in State PSUs (other than Power Sector) accounts of which were in arrears**

(₹ in crore)

Sl. No.	Name of the PSUs	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest finalised accounts	Investment made by the State Government during the year for which accounts were in arrears				
					Equity	Loans	Capital Grant/ Other Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10=(6+7+8+9)
	<b>A. Functional PSUs</b>								
	<b>I. Arrears up to 1 year</b>								
1	Allahabad City Transport Services Limited	2017-18	2018-19	4.91	0.00	0.00	19.17	0.00	19.17
2	Uttar Pradesh Development Systems Corporation Limited	2017-18	2018-19	1.00	0.00	0.00	1.00	0.00	1.00
3	UP Medical Supplies Corporation Limited*	—	2018-19	2.66	5.16	0.00	353.34	0.00	358.50
	<b>Sub Total-I</b>			<b>8.57</b>	<b>5.16</b>	<b>0.00</b>	<b>373.51</b>	<b>0.00</b>	<b>378.67</b>
	<b>II. Arrears up to 2 years</b>								0.00
4	The Pradeshiya Industrial and Investment Corporation of U P Limited	2016-17	2017-18 and 2018-19	135.58	0.00	237.37	0.00	0.00	237.37
5	Uttar Pradesh State Spinning Company Limited	2016-17	2017-18 and 2018-19	93.24	0.00	11.15	0.00	0.00	11.15
6	Uttar Pradesh Jal Nigam	2016-17	2017-18 and 2018-19	0.00	0.00	0.00	1679.74	0.00	1679.74
	<b>Sub Total-II</b>			<b>228.82</b>	<b>0.00</b>	<b>248.52</b>	<b>1679.74</b>	<b>0.00</b>	<b>1928.26</b>
	<b>III. Arrears 03 years or more</b>								0.00
7	Uttar Pradesh State Sugar Corporation Limited	2015-16	2016-17 to 2018-19	1648.31	0.00	743.00	0.00	0.00	743.00
8	Uttar Pradesh Scheduled Caste Finance and Development Corporation Limited	2015-16	2016-17 to 2018-19	230.42	3.75	0.00	0.00	0.00	3.75

Sl. No.	Name of the PSUs	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest finalised accounts	Investment made by the State Government during the year for which accounts were in arrears				
					Equity	Loans	Capital Grant/ Other Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10=(6+7+8+9)
9	Uttar Pradesh Food & Essential Corporation Limited	2010-11	2011-12 to 2018-19	5.50	6.83	0.00	0.00	0.00	6.83
10	Uttar Pradesh Mahila Kalyan Nigam Limited	2013-14	2014-15 to 2018-19	5.19	0.00	0.00	15.14	0.00	15.14
11	Uttar Pradesh Waqf Vikas Nigam Limited	2003-04	2004-05 to 2018-19	5.25	4.75	0.00	0.00	0.00	4.75
12	Uttar Pradesh Beej Vikas Nigam Limited	2014-15	2015-16 to 2018-19	6.92	0.00	0.00	12.95	0.00	12.95
13	Jhansi Smart City Limited*	-	2016-17 to 2018-19	-	0.00	0.00	36.00	0.00	36.00
14	Uttar Pradesh State Agro Industrial Corporation Limited	2010-11	2011-12 to 2018-19	46.30	10.41	0.00	0.00	0.00	10.41
15	Uttar Pradesh State Bridge Corporation Limited	2015-16	2016-17 to 2018-19	15.00	0.00	0.00	0.00	0.00	0.00
16	U.P. Handicrafts & Marketing Development Corporation Limited	2007-08	2008-09 to 2018-19	7.24	0.00	5.00	2.68	0.00	7.68
17	Uttar Pradesh Bhumi Sudhar Nigam	2015-16	2016-17 to 2018-19	1.50	0.00	0.00	130.00	0.00	130.00
18	Lucknow City Transport Services Limited* (Incorporated on 01-02-2010)	2008-09	2009-10 to 2018-19	-	17.84	0.00	73.25	0.00	91.09
19	Meerut City Transport Services Limited	2010-11	2011-12 to 2018-19	0.05	0	0.00	15.63	0.00	15.63
20	Kanpur City Transport Services Limited (Incorporated w.e.f. 28-04-10)	2009-10	2010-11 to 2018-19	0	0.05	0.00	47.42	0.00	47.47
21	Varanasi City Transport Services Limited	(Incorporated on 15 June 2010)	2010-11 to 2018-19	-	0.05	0	20.68	0.00	20.73

Sl. No.	Name of the PSUs	Period upto which accounts finalised	Period for which accounts are in arrears	Paid up capital as per latest finalised accounts	Investment made by the State Government during the year for which accounts were in arrears				
					Equity	Loans	Capital Grant/ Other Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10=(6+7+8+9)
22	Uttar Pradesh Matsya Vikas Nigam Limited	2015-16	2016-17 to 2018-19	1.07	0.00	0	4.60	0.00	4.60
23	Uttar Pradesh Financial Corporation	2012-13	2013-14 to 2018-19	179.28	0.00	52.60	0.00	0.00	52.60
24	Uttar Pradesh Alpsankhyak Vittyava Vikas Nigam Limited	2005-06	2006-07 to 2018-19	30.00	0.00	0.00	64.22	0.00	64.22
	<b>Sub Total-III</b>			<b>2182.03</b>	<b>43.68</b>	<b>800.60</b>	<b>422.57</b>	<b>0.00</b>	<b>1266.85</b>
	<b>Total A</b>			<b>2419.42</b>	<b>48.84</b>	<b>1049.12</b>	<b>2475.82</b>	<b>0.00</b>	<b>3573.78</b>
									0.00
	<b>B. Non-Functional PSUs</b>								0.00
	<b>I. Arrears up to 2 years</b>								0.00
25	Uttar Pradesh State Textile Corporation Limited	2016-17	2017-18 to 2018-19	160.79	0.00	2.27	0.00	0.00	2.27
	<b>Sub Total-I</b>			<b>160.79</b>	<b>0.00</b>	<b>2.27</b>	<b>0.00</b>	<b>0.00</b>	<b>2.27</b>
	<b>II. Arrears 03 years or more</b>								0.00
26	Uttar Pradesh State Handloom Corporation Limited	1999-2000	2000-2001 to 2018-19	47.07	0.00	109.51	0.00	0	109.51
27	The Indian Turpentine and Rosin Company Limited	2010-11	2011-12 to 2018-19	0.22	0.00	0.34	0.00	0	0.34
	<b>Sub Total-II</b>			<b>47.29</b>	<b>0.00</b>	<b>109.85</b>	<b>0.00</b>	<b>0.00</b>	<b>109.85</b>
	<b>Total B</b>			<b>208.08</b>	<b>0.00</b>	<b>112.12</b>	<b>0.00</b>	<b>0.00</b>	<b>112.12</b>
	<b>Grand Total (A+B)</b>			<b>2627.50</b>	<b>48.84</b>	<b>1161.24</b>	<b>2475.82</b>	<b>0.00</b>	<b>3685.90</b>

**Appendix-3.6**  
(Referred to in Paragraph 3.15)

Statement showing State Government fund infused in State PSUs (other than Power Sector) during the period from 2000-01 to 2018-19

(₹ in crore)

Year	1. Uttar Pradesh Metro Rail Corporation Limited (Formerly known as Lucknow Metro Rail Corporation Limited)			Year	2. Uttar Pradesh State Road Transport Corporation			Year	3. Uttar Pradesh State Warehousing Corporation		
	Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant
Up to 1999-2000	0.00	0.00	0.00	Up to 1999-2000	252.11	0.00	0.00	Up to 1999-2000	7.79	0.00	0.00
2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	2006-07	47.00	0.00	0.00	2006-07	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	2008-09	10.00	0.00	0.00	2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	2011-12	39.52	0.00	0.00	2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	2012-13	6.00	0.00	0.00	2012-13	0.00	0.00	0.00
2013-14	20.00	0.00	0.00	2013-14	3.43	0.00	0.00	2013-14	0.00	0.00	0.00
2014-15	60.00	35.00	0.00	2014-15	151.32	0.00	0.00	2014-15	-0.18	0.00	0.00
2015-16	475.00	150.00	0.00	2015-16	158.47	0.00	0.00	2015-16	0.00	0.00	0.00
2016-17	400.00	350.00	0.00	2016-17	98.33	0.00	0.00	2016-17	0.00	0.00	0.00
2017-18	82.95	150.05	0.00	2017-18	50.00	0.00	0.00	2017-18	0.00	0.00	0.00
2018-19	0.00	128.00	0.00	2018-19	50.00	0.00	0.00	2018-19	0.00	0.00	0.00
<b>Total</b>	<b>1037.95</b>	<b>813.05</b>	<b>0.00</b>	<b>Total</b>	<b>866.18</b>	<b>0.00</b>	<b>0.00</b>	<b>Total</b>	<b>7.61</b>	<b>0.00</b>	<b>0.00</b>

Year	4. Uttar Pradesh Jal Nigam			Year	5. Uttar Pradesh Projects Corporation Limited			Year	6. Uttar Pradesh State Construction & Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)		
	Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant
Up to 1999-2000	0.00	0.00	0.00	Up to 1999-2000	5.40	0.00	0.00	Up to 1999-2000	0.15	0.00	0.00
2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	2006-07	0.00	0.00	0.00	2006-07	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	2008-09	0.00	0.00	0.00	2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	2011-12	0.00	0.00	0.00	2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	2012-13	0.00	0.00	0.00	2012-13	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00
2014-15	0.00	140.61	0.00	2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	2015-16	0.00	0.00	0.00	2015-16	0.00	0.00	0.00
2016-17	0.00	300.00	0.00	2016-17	0.00	0.00	0.00	2016-17	0.00	0.00	0.00
2017-18	0.00	-300.00	0.00	2017-18	0.00	0.00	0.00	2017-18	0.00	0.00	0.00
2018-19	0.00	0.00	0.00	2018-19	0.00	0.00	0.00	2018-19	0.00	0.00	0.00
<b>Total</b>	<b>0.00</b>	<b>140.61</b>	<b>0.00</b>	<b>Total</b>	<b>5.40</b>	<b>0.00</b>	<b>0.00</b>	<b>Total</b>	<b>0.15</b>	<b>0.00</b>	<b>0.00</b>

Year	7. Uttar Pradesh Electronics Corporation Limited.			Year	8. Uttar Pradesh Development Systems Corporation Limited			Year	9. Uttar Pradesh Purva Sainik Kalyan Nigam Limited		
	Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant
Up to 1999-2000	77.51	24.99	0.00	Up to 1999-2000	1.00	0.00	1.05	Up to 1999-2000	0.43	0.00	0.00
2000-01	0.00	17.75	0.00	2000-01	0.00	0.00	1.05	2000-01	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	1.05	2001-02	0.00	0.00	0.00
2002-03	10.15	18.75	0.00	2002-03	0.00	0.00	1.05	2002-03	0.00	0.00	0.00
2003-04	0.00	6.25	0.00	2003-04	0.00	0.00	1.05	2003-04	0.00	0.00	0.00
2004-05	0.00	5.34	0.00	2004-05	0.00	0.00	1.05	2004-05	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	1.45	2005-06	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	2006-07	0.00	0.00	1.45	2006-07	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.80	2007-08	0.00	0.00	0.00
2008-09	3.88	0.00	0.00	2008-09	0.00	0.00	0.65	2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	1.45	2009-10	0.00	0.00	0.00
2010-11	0.00	32.70	0.00	2010-11	0.00	0.00	2.00	2010-11	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	2011-12	0.00	0.00	2.00	2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	2012-13	0.00	0.00	2.00	2012-13	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	2.00	2014-15	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	2015-16	0.00	0.00	2.00	2015-16	0.00	0.00	0.00
2016-17	0.00	-0.28	0.00	2016-17	0.00	0.00	2.00	2016-17	0.00	0.00	0.00
2017-18	0.00	0.00	0.00	2017-18	0.00	0.00	1.00	2017-18	0.00	0.00	0.00
2018-19	0.00	-0.40	0.00	2018-19	0.00	0.00	1.00	2018-19	0.00	0.00	0.00
<b>Total</b>	<b>91.54</b>	<b>105.10</b>	<b>0.00</b>	<b>Total</b>	<b>1.00</b>	<b>0.00</b>	<b>26.10</b>	<b>Total</b>	<b>0.43</b>	<b>0.00</b>	<b>0.00</b>

Year	10. Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited			Year	11. Uttar Pradesh Police Avas Nigam Limited			Year	12. Uttar Pradesh Export Promotion Council		
	Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant
Up to 1999-2000	0.15	0.00	0.00	Up to 1999- 2000	3.00	0.00	0.00	Up to 1999-2000	0.00	0.00	0.00
2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00
2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00	2001-02	0.00	0.00	0.00
2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00
2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00
2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00	2004-05	0.00	0.00	0.00
2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00	2005-06	0.00	0.00	0.00
2006-07	0.00	0.00	0.00	2006-07	0.00	0.00	0.00	2006-07	0.00	0.00	0.00
2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00
2008-09	0.00	0.00	0.00	2008-09	0.00	0.00	0.00	2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00
2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00	2010-11	0.00	0.00	0.00
2011-12	0.00	0.00	0.00	2011-12	0.00	0.00	0.00	2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	2012-13	0.00	0.00	0.00	2012-13	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00	2013-14	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	2015-16	0.00	0.00	0.00	2015-16	0.00	0.00	0.00
2016-17	0.00	0.00	0.00	2016-17	0.00	0.00	0.00	2016-17	0.05	5.00	0.00
2017-18	0.00	0.00	0.00	2017-18	0.00	0.00	0.00	2017-18	0.00	0.00	0.00
2018-19	0.00	0.00	0.00	2018-19	0.00	0.00	0.00	2018-19	0.00	0.00	0.00
<b>Total</b>	<b>0.15</b>	<b>0.00</b>	<b>0.00</b>	<b>Total</b>	<b>3.00</b>	<b>0.00</b>	<b>0.00</b>	<b>Total</b>	<b>0.05</b>	<b>5.00</b>	<b>0.00</b>

Year	13. Allahabad City Transport Services Limited			Year	14. Uttar Pradesh State Spinning Company Limited			Year	15. The Pradeshiya Industrial and Investment Corporation of UP Limited		
	Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant		Equity	Interest free Loans	Revenue/ Other Grant
Up to 1999-2000	-	-	-	Up to 1999-2000	88.65	17.58	0.00	Up to 1999-2000	110.58	33.53	0.00
2000-01	-	-	-	2000-01	0.00	0.00	0.00	2000-01	0.00	0.00	0.00
2001-02	-	-	-	2001-02	0.00	0.00	0.00	2001-02	0.00	-33.53	0.00
2002-03	-	-	-	2002-03	0.00	0.00	0.00	2002-03	0.00	0.00	0.00
2003-04	-	-	-	2003-04	0.00	0.00	0.00	2003-04	0.00	0.00	0.00
2004-05	-	-	-	2004-05	4.59	5.83	0.00	2004-05	0.00	0.00	0.00
2005-06	-	-	-	2005-06	0.00	8.66	0.00	2005-06	0.00	59.88	0.00
2006-07	-	-	-	2006-07	0.00	13.57	0.00	2006-07	0.00	68.65	0.00
2007-08	-	-	-	2007-08	0.00	0.00	0.00	2007-08	0.00	0.00	0.00
2008-09	-	-	-	2008-09	0.00	-0.44	0.00	2008-09	0.00	0.00	0.00
2009-10	0.00	0.00	2.63	2009-10	0.00	0.00	0.00	2009-10	0.00	0.00	0.00
2010-11	0.05	0.00	2.78	2010-11	0.00	9.74	0.00	2010-11	0.00	9.08	0.00
2011-12	0.00	0.00	0.00	2011-12	0.00	33.11	0.00	2011-12	0.00	0.00	0.00
2012-13	0.00	0.00	0.00	2012-13	0.00	9.88	0.00	2012-13	0.00	0.00	0.00
2013-14	0.00	0.00	0.00	2013-14	0.00	-0.94	0.00	2013-14	0.00	0.00	0.00
2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	0.00	2014-15	0.00	0.00	0.00
2015-16	0.00	0.00	0.00	2015-16	0.00	11.79	0.00	2015-16	0.00	0.00	0.00
2016-17	0.00	0.00	5.49	2016-17	0.00	0.00	0.00	2016-17	0.00	0.00	0.00
2017-18	0.00	0.00	8.89	2017-18	0.00	4.19	0.00	2017-18	0.00	30.27	0.00
2018-19	0.00	0.00	19.17	2018-19	0.00	0.00	0.00	2018-19	0.00	2.37	0.00
<b>Total</b>	<b>0.05</b>	<b>0.00</b>	<b>38.96</b>	<b>Total</b>	<b>93.24</b>	<b>112.97</b>	<b>0.00</b>	<b>Total</b>	<b>110.58</b>	<b>170.25</b>	<b>0.00</b>

**Appendix-4.1**  
**(Referred to in Paragraph 4.4)**  
**Statement showing avoidable payment of electricity charges due to incorrect billing and excess contracted demand in Koniya SPS**  
**(contracted load 2000 KVA)**

(Amount in ₹)

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Avoidable electricity charges due to wrong billing by Electricity Department									Avoidable electricity charges due to contracted demand in excess of the requirement			
		Excess demand charges billed								Capacitor surcharge	Total amount due to wrong billing	Amount of excess contract ed load on 600 KVA <sup>16</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess contracted demand	Total amount due to excess contracted load
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 2000 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>13</sup>	Amount of excess billed demand <sup>14</sup>	Amount of Electricity Duty Regulatory Surcharge on amount of excess billed demand <sup>15</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid					
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28%	9 = 8 + 7	10	11 = 9 + 10	12 = 6 * 600 KVA <sup>14</sup>	13 = 12 * 7.5% + 12 * 5.22 / 7.12 / 4.28 %	14 = 12+ 13	
Jun-15	595	1500	2000	500	230	103500	13165	116665	-	116665	124200	15798	139998	
Jul-15	437	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Aug-15	455	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Sep-15	606	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Oct-15	439	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Nov-15	439	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Dec-15	615	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Jan-16	616	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	
Feb-16	616	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358	

<sup>13</sup> Per KW from June 2015 to April 2016 and per KVA from May 2016 to March 2019.

<sup>14</sup> Rate of demand charge\*excess demand\*0.9 from June 2015 to July 2016 and rate of demand charge\*excess demand from August 2016 to March 2019.

<sup>15</sup> Electricity duty at the rate 7.5 per cent and Regulatory Surcharge at the rate 5.22 per cent in June 2015, 7.12 per cent from July 2015 to April 2016 and 4.28 per cent from May 2016 onwards.

<sup>16</sup> Difference of billable demand (1500 KVA) on existing contracted demand (2000 KVA) and billable demand (900 KVA) on to be revised contracted demand (1200 KVA).

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Avoidable electricity charges due to wrong billing by Electricity Department									Avoidable electricity charges due to contracted demand in excess of the requirement		
		Excess demand charges billed							Capacitor surcharge	Total amount due to wrong billing	Amount of excess contract ed load on 600 KVA <sup>16</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess contracted demand	Total amount due to excess contracted load
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 2000 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>13</sup>	Amount of excess billed demand <sup>14</sup>	Amount of Electricity Duty Regulatory Surcharge on amount of excess billed demand <sup>15</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid				
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28%	9 = 8 + 7	10	11 = 9 + 10	12 = 6 * 600 KVA <sup>14</sup>	13 = 12 * 7.5% + 12 * 5.22 / 7.12 / 4.28 %	14 = 12+ 13
Mar-16	555	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358
Apr-16	471	1500	2000	500	230	103500	15132	118632	-	118632	124200	18158	142358
May-16	490	1500	2000	500	230	103500	12192	115692	-	115692	124200	14631	138831
Jun-16	625	1500	2000	500	230	103500	12192	115692	-	115692	124200	14631	138831
Jul-16	277	1500	2000	500	230	103500	12192	115692	-	115692	124200	14631	138831
Aug-16	312	1500	2000	500	261	130500	15373	145873	107385	253258	156600	18447	175047
Sep-16	420	1500	2000	500	261	130500	15373	145873	251429	397302	156600	18447	175047
Oct-16	420	1500	2000	500	261	130500	15373	145873	269472	415345	156600	18447	175047
Nov-16	436	1500	2000	500	261	130500	15373	145873	241333	387206	156600	18447	175047
Dec-16	436	1500	2000	500	261	130500	15373	145873	333375	479248	156600	18447	175047
Jan-17	603	1500	2000	500	261	130500	15373	145873	326179	472052	156600	18447	175047
Feb-17	602	1500	2000	500	261	130500	15373	145873	296429	442302	156600	18447	175047
Mar-17	454	1500	2000	500	261	130500	15373	145873	220820	366693	156600	18447	175047
Apr-17	590	1500	2000	500	261	130500	15373	145873	300833	446706	156600	18447	175047
May-17	428	1500	2000	500	261	130500	15373	145873	280642	426515	156600	18447	175047
Jun-17	373	1500	2000	500	261	130500	15373	145873	252825	398698	156600	18447	175047
Jul-17	412	1500	2000	500	261	130500	15373	145873	364736	510609	156600	18447	175047
Aug-17	525	1500	2000	500	261	130500	15373	145873	236822	382695	156600	18447	175047
Sep-17	377	1500	2000	500	261	130500	15373	145873	215128	361001	156600	18447	175047

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Avoidable electricity charges due to wrong billing by Electricity Department									Avoidable electricity charges due to contracted demand in excess of the requirement		
		Excess demand charges billed							Capacitor surcharge	Total amount due to wrong billing	Amount of excess contract ed load on 600 KVA <sup>16</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess contracted demand	Total amount due to excess contracted load
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 2000 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>13</sup>	Amount of excess billed demand <sup>14</sup>	Amount of Electricity Duty Regulatory Surcharge on amount of excess billed demand <sup>15</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid				
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28%	9 = 8 + 7	10	11 = 9 + 10	12 = 6 * 600 KVA <sup>14</sup>	13 = 12 * 7.5% + 12 * 5.22 / 7.12 / 4.28 %	14 = 12+ 13
Oct-17	380	1500	2000	500	261	130500	15373	145873	273446	419319	156600	18447	175047
Nov-17	484	1500	2000	500	261	130500	15373	145873	253147	399020	156600	18447	175047
Dec-17	490	1500	2000	500	315	157500	18554	176054	233106	409160	189000	22264	211264
Jan-18	490	1500	2000	500	315	157500	18554	176054	369807	545861	189000	22264	211264
Feb-18	490	1500	2000	500	315	157500	18554	176054	232546	408600	189000	22264	211264
Mar-18	390	1500	2000	500	315	157500	18554	176054	258541	434595	189000	22264	211264
Apr-18	577	1500	2000	500	315	157500	18554	176054	249689	425743	189000	22264	211264
May-18	483	1500	2000	500	315	157500	18554	176054	249689	425743	189000	22264	211264
Jun-18	502	1500	2000	500	315	157500	18554	176054	282520	458574	189000	22264	211264
Jul-18	438	1500	2000	500	315	157500	18554	176054	301680	477734	189000	22264	211264
Aug-18	593	1500	2000	500	315	157500	18554	176054	287674	463728	189000	22264	211264
Sep-18	431	1500	2000	500	315	157500	18554	176054	207110	383164	189000	22264	211264
Oct-18	418	1500	2000	500	315	157500	18554	176054	143018	319072	189000	22264	211264
Nov-18	466	1500	2000	500	315	157500	18554	176054	272435	448489	189000	22264	211264
Dec-18	789	1500	2000	500	315	157500	18554	176054	358826	534880	189000	22264	211264
Jan-19	674	1500	2000	500	315	157500	18554	176054	-	176054	189000	22264	211264
Feb-19	758	1500	2000	500	315	157500	18554	176054	-	176054	189000	22264	211264
Mar-19	732	1500	2000	500	315	157500	18554	176054	-	176054	189000	22264	211264
<b>Total</b>						<b>6057000</b>	<b>743893</b>	<b>6800893</b>	<b>7670642</b>	<b>14471535</b>	<b>7268400</b>	<b>892647</b>	<b>8161047</b>

**Appendix-4.2**  
**(Referred to in Paragraph 4.4)**  
**Statement showing avoidable payment of electricity charges due to incorrect billing in Deenapur STP**  
**(contracted load 1200 KVA)**

(Amount in ₹)

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Excess demand charges billed							Capacitor surcharge	Total amount due to wrong billing
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 1200 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>17</sup>	Amount of excess billed demand <sup>18</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess billed demand <sup>19</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid	
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28 %	9 = 7+ 8	10	11 = 9+ 10
Jun-15	555	900	1200	300	230	62100	7899	69999	-	69999
Jul-15	579	900	1200	300	230	62100	9079	71179	-	71179
Aug-15	482	900	1200	300	230	62100	9079	71179	-	71179
Sep-15	529	900	1200	300	230	62100	9079	71179	-	71179
Oct-15	543	900	1200	300	230	62100	9079	71179	-	71179
Nov-15	543	900	1200	300	230	62100	9079	71179	-	71179
Dec-15	553	900	1200	300	230	62100	9079	71179	-	71179
Jan-16	527	900	1200	300	230	62100	9079	71179	-	71179
Feb-16	527	900	1200	300	230	62100	9079	71179	-	71179
Mar-16	599	900	1200	300	230	62100	9079	71179	-	71179
Apr-16	542	900	1200	300	230	62100	9079	71179	-	71179
May-16	542	900	1200	300	230	62100	7315	69415	-	69415
Jun-16	612	900	1200	300	230	62100	7315	69415	-	69415

<sup>17</sup> Per KW from June 2015 to April 2016 and per KVA from May 2016 to March 2019.

<sup>18</sup> Rate of demand charge\*excess demand\*0.9 from June 2015 to July 2016 and rate of demand charge\*excess demand from August 2016 to March 2019.

<sup>19</sup> Electricity duty at the rate 7.5 per cent and Regulatory Surcharge at the rate 5.22 per cent in June 2015, 7.12 per cent from July 2015 to April 2016 and 4.28 per cent from May 2016 onwards.

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Excess demand charges billed							Capacitor surcharge	Total amount due to wrong billing
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 1200 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>17</sup>	Amount of excess billed demand <sup>18</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess billed demand <sup>19</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid	
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28 %	9 = 7+ 8	10	11 = 9+ 10
Jul-16	308	900	1200	300	230	62100	7315	69415	-	69415
Aug-16	472	900	1200	300	261	78300	9224	87524	91348	178872
Sep-16	492	900	1200	300	261	78300	9224	87524	324973	412497
Oct-16	503	900	1200	300	261	78300	9224	87524	327871	415395
Nov-16	425	900	1200	300	261	78300	9224	87524	253044	340568
Dec-16	561	900	1200	300	261	78300	9224	87524	354530	442054
Jan-17	579	900	1200	300	261	78300	9224	87524	356978	444502
Feb-17	579	900	1200	300	261	78300	9224	87524	321013	408537
Mar-17	499	900	1200	300	261	78300	9224	87524	224807	312331
Apr-17	542	900	1200	300	261	78300	9224	87524	363707	451231
May-17	542	900	1200	300	261	78300	9224	87524	409556	497080
Jun-17	567	900	1200	300	261	78300	9224	87524	164436	251960
Jul-17	555	900	1200	300	261	78300	9224	87524	419087	506611
Aug-17	524	900	1200	300	261	78300	9224	87524	258904	346428
Sep-17	462	900	1200	300	261	78300	9224	87524	236849	324373
Oct-17	450	900	1200	300	261	78300	9224	87524	331638	419162
Nov-17	470	900	1200	300	261	78300	9224	87524	258840	346364
Dec-17	488	900	1200	300	315	94500	11132	105632	248272	353904
Jan-18	516	900	1200	300	315	94500	11132	105632	346260	451892
Feb-18	516	900	1200	300	315	94500	11132	105632	321721	427353
Mar-18	516	900	1200	300	315	94500	11132	105632	293820	399452
Apr-18	970	970	1200	230	315	72450	8535	80985	279567	360552

Month/ year	Actual Maximum Demand in each month as per meter (in KVA)	Excess demand charges billed							Capacitor surcharge	Total amount due to wrong billing
		Billable demand as per tariff (actual maximum demand or 75 per cent of contracted demand of 1200 KVA whichever is higher (in KVA)	Actual billed demand (in KVA)	Difference of billed demand and billable demand (in KVA)	Rate of fixed demand charge <sup>17</sup>	Amount of excess billed demand <sup>18</sup>	Amount of Electricity Duty and Regulatory Surcharge on amount of excess billed demand <sup>19</sup>	Total amount of excess billed demand	Amount of Capacitor Surcharge verified and paid	
1	2	3	4	5 = 4 - 3	6	7 = 5 * 6	8 = 7 * 7.5 % + 7 * 5.22 / 7.12 / 4.28 %	9 = 7+ 8	10	11 = 9+ 10
May-18	541	900	1200	300	315	94500	11132	105632	299468	405100
Jun-18	524	900	1200	300	315	94500	11132	105632	316611	422243
Jul-18	418	900	1200	300	315	94500	11132	105632	324813	430445
Aug-18	506	900	1200	300	315	94500	11132	105632	315401	421033
Sep-18	547	900	1200	300	315	94500	11132	105632	234893	340525
Oct-18	482	900	1200	300	315	94500	11132	105632	205245	310877
Nov-18	530	900	1200	300	315	94500	11132	105632	329015	434647
Dec-18	537	900	1200	300	315	94500	11132	105632	351907	457539
Jan-19	568	900	1200	300	315	94500	11132	105632	-	105632
Feb-19	565	900	1200	300	315	94500	11132	105632	-	105632
Mar-19	480	900	1200	300	315	94500	11132	105632	-	105632
<b>Total</b>						<b>3612150</b>	<b>443733</b>	<b>4055883</b>	<b>8564574</b>	<b>12620457</b>

**Appendix-6.1**  
(Referred to in Paragraph 6.2)

**Statement showing loss due to exemption from payment of City Development Charges (CDC) to the developer in Lucknow Development Authority**

(₹ in lakh)

Sl. No.	Name of the Developer	Date of approval of layout	Total Area of Approved Layout (in acre)	Area of layout on which CDC not levied (in acre)	Net area factor* as per layout approved (in acre)	Net area (in acre)	Rate of CDC per acre (calculated as per cost index of concerned year)	Amount of CDC charges not levied
1	2	3	4	5	6	7 = (5*6)	8	9 = (7*8)
1.	Ansal Properties and Infrastructure Limited	18.11.2006 (First Phase)	639.55	639.55	0.648	414.43	3.00	1243.29
		10.05.2007 (Second Phase)	407.95	407.95	0.648	264.35	3.00	793.05
		27.11.2008 (Third Phase)	717.50	717.50	0.648	464.94	3.13	1455.26
<b>Total</b>								<b>3491.60</b>

\*As per GoUP order dated (April 2010), the net area factor is the net area for calculation of CDC after deducting the area used in road, park, open and green space.

**Appendix-6.2**  
(Referred to in Paragraph 6.2)

Statement showing benefit allowed to the developer by Lucknow Development Authority by allowing higher FAR without levy of any charges

Sl. No.	Details of map	Date of recommendations of technical committee	Circle Rate (in ₹)	Area of plot (sqm)	Free permissible FAR as per Building Bye-laws	Basic FAR allowed in Hi-tech Township	Sanctioned FAR for Hi-tech Township without charges	Additional FAR	Additional FAR Area (in sqm.)	Charges not levied by LDA for additional FAR (in ₹)
1	2	3	4	5	6	7	8	9 = (8 - 6)	10 = (5 x 9)	11 <sup>20</sup> = (col. 4 x col. 10 x 0.4 / col. 7)
1	Sector-I Pocket - A,B,C,D Paradise Dimond -2 & Celebrity Medoz	20.09.2010	6,000.00	121813.50	1.5	2.5	2.005	0.505	61515.82	55364238.00
2	Sector-M GH-01 Pocket -1	08.10.2015	19,000.00	35936.34	1.5	2.5	2.50	1.00	35936.34	109246473.60
3	Sector-M Extension GH-2 pocket -1 Olmpus	06.05.2015	19,000.00	24757.95	1.5	2.5	1.93	0.43	10645.92	32363596.80
4	Sector-H Group Housing Plot-5	21.02.2013	8,000.00	27101.70	1.5	2.5	2.73	1.00	27101.70	34690176.00
5	Sector J Pocket 4 GH -2 Jivan Enclave	02.07.2013	8,000.00	15416.43	1.5	2.5	2.34	0.84	12949.80	16575744.00
6	Sector-B Pocket-1, Group Housing Plot-1	19.05.2010	6,000.00	47566.85	1.5	2.5	2.27	0.77	36626.47	32963823.00
7	Sector- H Group Housing -01	17.12.2015	19,000.00	67782.18	1.5	2.5	2.498	0.998	67646.62	205645724.80

<sup>20</sup> The charges for additional FAR allowed from 1.5 to 2.5 were calculated @ 15 per cent of (additional FAR area x DM Circle's rate) before 04 August 2011 as per GoUP order dated 25 September 2008. Further, after GoUP order dated 04 August 2011, the same has been calculated as per the formula = additional FAR area x DM Circle's rate x 0.4/2.5.

Sl. No.	Details of map	Date of recommendations of technical committee	Circle Rate (in ₹)	Area of plot (sqm)	Free permissible FAR as per Building Bye-laws	Basic FAR allowed in Hi-tech Township	Sanctioned FAR for Hi-tech Township without charges	Additional FAR	Additional FAR Area (in sqm.)	Charges not levied by LDA for additional FAR (in ₹)
1	2	3	4	5	6	7	8	9 = (8 - 6)	10 = (5 x 9)	11 <sup>20</sup> = (col. 4 x col. 10 x 0.4 / col. 7)
8	Sector K Pocket 3 Group Housing-06 LIG/EWS	27.11.2012	8,000.00	68594.93	1.5	2	1.981	0.481	32994.16	52790656.00
9	Sector- B1 Group Housing Plot- 03	23.02.2012	8,000.00	43121.80	1.5	2.5	1.90	0.40	17248.72	22078361.60
10	Sector-B Pocket-2 Lakshay Height	06.05.2015	19,000.00	14568.57	1.5	2.5	2.50	1.00	14568.57	44288452.80
11	Sector G Pocket 5 Group Housing Plot2	22.12.2017	19,000.00	14524.99	1.5	2.5	2.33	0.83	12055.74	36649449.60
12	Sector G Pocket 5 Group Housing Plot-1	02.11.2015	19,000.00	40250.87	1.5	2.5	2.50	1.00	40250.87	122362644.80
13	Sector G Pocket 5 Group Housing-3	26.09.2017	19,000.00	10828.06	1.5	2.5	2.46	0.96	10394.94	31600617.60
14	Sector-C Pocket -7 Group Housing Plot-01	17.12.2015	21,500.00	32782.11	1.5	2.5	2.50	1.00	32782.11	112770458.40
15	Sector -C Pocket -7 Group Housing Plot-02	17.12.2015	21,500.00	17924.45	1.5	2.5	2.49	0.99	17745.21	61043522.40
16	Sector-K Pocket -2 EWS Group Housing Plot-02	06.05.2015	19,000.00	26304.17	1.5	2.5	2.50	1.00	26304.17	79964676.80
17	Sector -B Pocket-2	04.02.2016	21,500.00	3322.85	1.5	2.5	1.616	0.116	385.45	1325948.00
18	Sector-B Group Housing Plot-3	11.02.2016	21,500.00	43121.80	1.5	2.5	2.49	0.99	42690.58	146855595.20

Sl. No.	Details of map	Date of recommendations of technical committee	Circle Rate (in ₹)	Area of plot (sqm)	Free permissible FAR as per Building Bye-laws	Basic FAR allowed in Hi-tech Township	Sanctioned FAR for Hi-tech Township without charges	Additional FAR	Additional FAR Area (in sqm.)	Charges not levied by LDA for additional FAR (in ₹)
1	2	3	4	5	6	7	8	9 = (8 - 6)	10 = (5 x 9)	11 <sup>20</sup> = (col. 4 x col. 10 x 0.4 / col. 7)
19	Sector- G Group Housing Plot-1B Pocket-5	04.02.2016	19,000.00	67291.87	1.5	2.5	2.50	1.00	67291.87	204567284.80
20	Sector-F Pocket -1	04.08.2016	19,000.00	22000.43	1.5	2.5	2.47	0.97	21340.42	64874876.80
21	Sector B Pocket -3 Group Housing Plot-02	20.07.2018	21,500.00	10611.33	1.5	2.5	2.075	0.575	6101.51	20989194.40
22	Sec B Pocket -01 Group Housing-01 Celebrity Green	11.10.2018	21,500.00	1167.917	1.5	2.5	2.208	0.708	826.89	2844501.60
23	Sec M block A2& A3 Group Housing-03	27.03.2019	15,000.00	51571.46	1.5	2.5	2.32	0.82	42288.60	101492640.00
24	Sec G Pocket -5 Group Housing Plot 1B	28.05.2019	19,000.00	67291.87	1.5	2.5	2.07	0.57	38356.37	116603364.80
<b>Total</b>				<b>875654.427 or 216.38 acre</b>						<b>1709952021.80</b>

**Appendix-6.3**  
**(Referred to in Paragraph 6.6)**

**Statement showing non-inclusion of administrative charges in the total acquisition charges of land to be paid by integrated township developer**

(₹ in lakh)

<b>Sl. No.</b>	<b>Name of the Developer company (M/s)</b>	<b>Place of land</b>	<b>Area of land (in hectare)</b>	<b>Amount realised by Authority from Developers for issuing Section 4/6 award compensation demanded by SLAO</b>	<b>10 per cent administrative charges to be realised from the developers</b>
<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>
1	Agarwal Associates Promoters Limited	Shahpur Bamaita	21.1293	2378.12	237.81
2	Agarwal Associates Promoters Limited	Shahpur Bamaita	1.2315	135.47	13.55
<b>Total</b>			<b>22.3608</b>	<b>2513.59</b>	<b>251.36</b>

**Appendix-6.4**  
**(Referred to in Paragraph 6.7)**  
**Statement showing non-realisation of the premium and lease rent**

(₹ in lakh)

Sl. No.	Name of the Division	Name of the lessee	Date of approval of GoI	Date of approval of GoUP	Area of leased out land (in hectare)	Period of lease to be executed (in years)	Date of handing over of land	Amount of the premium	Period for which lease rent to be levied and realised	Amount of lease rent
1	2	3	4	5	6	7	8	9	10	11
1.	Divisional Forest Officer, Gonda	Bajaj Hindustan Sugar Industries Ltd.	December 2011	May 2012	1.660	25	September 2013	41.35*	September 2013 to September 2019 (6 years)	32.18*
2.	Divisional Forest Officer, G.B. Nagar, Noida	Dedicated Freight Corridor Corporation of India Ltd.	November 2015	October 2016	11.809	25	October 2016**	1643.22	October 2016 to October 2019 (3 years)	492.97
3.	Divisional Director Social Forestry Division, Lalitpur	UP State Electricity Board	July 1999	August 2000	7.500	30	August 2000	6.49#	August 2000 to August 2019 (19 years)	37.15#
<b>Total</b>					<b>20.969</b>			<b>1691.06</b>		<b>562.30</b>
<b>Grand total (Lease premium + Lease rent) = ₹ 1,691.06 lakh + ₹ 562.30 lakh = ₹ 2,253.36 lakh or say ₹ 22.53 crore</b>										

\* Premium has been calculated after excluding the deposit of ₹ 7.38 lakh and lease rent has been calculated after excluding deposit of ₹ 0.74 lakh by the lessee till date.

\*\* Date of approval of GoUP has been reckoned as date of handing over of land.

# As calculated and provided by the Department in its reply.

**Appendix-6.5**  
**(Referred to in Paragraph 6.8)**  
**Excess analysed cost of centre brushes and uniforms by GNIDA**

(Amount in ₹)

Sl. No.	Particulars	Rate taken for monthly cost		Higher rate per hectare
		By GNIDA	By Audit	
1	2	3	4	5
1.	Cost of centre brushes: (₹ 70,980.71 for set of 2 central brushes for two machines)	141961.42	70980.71	70980.71
2.	Annual cost of two sets of uniform for 86 workers each at the rate of ₹ 1000	172000.00	14333.33	157666.67
3.	Cost per month	313961.42	85314.04	228647.38
4.	Cost per day (Sl. 3/30)			7621.58
5.	Cost per hectare per day (7621.58/260.27 hectare for Part I)			29.28
6.	Water charges at the rate of one per cent of Sl. No. 5			0.29
7.	Total (5+6)			29.57
8.	Contractor profit at the rate 10 per cent of Sl. No. 7			2.96
<b>Excess rate per hectare per day (Sl. No. 7 +Sl. No. 8)</b>				<b>32.53</b>